

# Second quantization in curved spacetime

Consider a free scalar field on the curved background spacetime with metric  $g_{\mu\nu}(x)$  of signature  $(+, - - -)$ . The action is given by

$$S[\phi] = \frac{1}{2} \int d^4x \sqrt{-g} (\partial_\mu \phi \partial^\mu \phi - m^2 \phi^2 - \xi R \phi),$$

where  $R$  is the Ricci scalar of the background metric and  $\xi$  is an arbitrary coupling constant. The classical equations of motion are given by

$$(\square + m^2 + \xi R) \phi = 0, \quad \square = \nabla_\mu \nabla^\mu.$$

## The Klein-Gordon bracket

We define the Klein-Gordon bracket on the linear vector space of solutions of the equation of motion:

$$\langle f; g \rangle_\Sigma = i \int_\Sigma d\Sigma \cdot n^\mu (g^* \partial_\mu f - f \partial_\mu g^*),$$

where  $\Sigma$  is an arbitrary spacelike hypersurface of dimension  $d - 1 = 3$ . Note that the bracket is by definition antilinear in its second argument:  $\langle f; ag \rangle = a^* \langle f; g \rangle$ . Also,  $\langle f; g \rangle = \langle g; f \rangle^*$ .

**Claim:** The Klein-Gordon bracket is independent of the choice of  $\Sigma$  as long as both its arguments are solutions of the Klein-Gordon equation.

**Proof:** Consider a spacetime volume element  $V$  enclosed by two spacelike boundaries  $\Sigma_1$  and  $\Sigma_2$ . The difference between the Klein-Gordon brackets evaluated at the boundaries is equal to

$$\begin{aligned} \langle f; g \rangle_{\Sigma_1} - \langle f; g \rangle_{\Sigma_2} &= i \int_{\Sigma_1} d\Sigma \cdot n^\mu (g^* \partial_\mu f - f \partial_\mu g^*) - i \int_{\Sigma_2} d\Sigma \cdot n^\mu (g^* \partial_\mu f - f \partial_\mu g^*) = \\ &= i \int_{\partial V} d\Sigma \cdot n^\mu (g^* \partial_\mu f - f \partial_\mu g^*) = i \int_V dV \cdot \nabla^\mu (g^* \partial_\mu f - f \partial_\mu g^*) = \\ &= i \int_V dV (\nabla^\mu g^* \cdot \nabla_\mu f - \nabla^\mu f \cdot \partial_\mu g^* + g^* \square f - f \square g^*) = \\ &= i \int_V dV (g^* \square f - f \square g^*) = i \int_V dV \cdot g^* f (-m^2 - \xi R + m^2 + \xi R) = 0. \end{aligned}$$

Thus  $\langle f; g \rangle$  is independent of the choice of  $\Sigma$ .

## Canonical quantization in curved spacetime

Now we specify a foliation of spacetime into spacelike hypersurfaces  $\Sigma_t$ , parameterized by the time coordinate  $t$ . By definition, the canonical momentum field is given by

$$\dot{\phi} = n^\mu \partial_\mu \phi, \quad \pi = \frac{\partial L}{\partial \dot{\phi}} = \dot{\phi},$$

and the canonical commutation relations read

$$[\phi(\vec{x}, t); \pi(\vec{y}, t)] = i \delta(\vec{x}; \vec{y})$$

with

$$\int_\Sigma dx \cdot \delta(\vec{x}; \vec{y}) = 1.$$

The next ingredient is the expression of the field operator and its canonical momentum in terms of the creation and annihilation operators:

$$\begin{cases} \hat{\phi}(x) = \sum_i \left( \hat{a}_i f_i(x) + \hat{a}_i^\dagger f_i^*(x) \right), \\ \hat{\pi}(x) = \sum_i \left( \hat{a}_i \dot{f}_i(x) + \hat{a}_i^\dagger \dot{f}_i^*(x) \right), \end{cases}$$

where  $\{f_i, f_i^*\}$  is a complete orthonormal basis of solutions with positive and negative frequencies defined by

$$\begin{cases} \langle f_i; f_j \rangle = \delta_{ij}, \\ \langle f_i^*; f_j^* \rangle = -\delta_{ij}, \\ \langle f_i; f_j^* \rangle = 0. \end{cases}$$

**Claim:**  $\hat{a}_i = \langle \hat{\phi}; f_i \rangle$  and  $\hat{a}_i^\dagger = -\langle \hat{\phi}; f_i^* \rangle$ .

**Proof:**

$$\begin{aligned} \langle \hat{\phi}; f_i \rangle &= \sum_k \left( \hat{a}_k \langle f_k; f_i \rangle + \hat{a}_k^\dagger \langle f_k^*; f_i \rangle \right) = \sum_k (\hat{a}_k \cdot \delta_{ik} + 0) = \hat{a}_i, \\ -\langle \hat{\phi}; f_i^* \rangle &= -\sum_k \left( \hat{a}_k \langle f_k; f_i^* \rangle + \hat{a}_k^\dagger \langle f_k^*; f_i^* \rangle \right) = -\sum_k (0 - \delta_{ij} \cdot \hat{a}_k^\dagger) = \hat{a}_i^\dagger. \end{aligned}$$

The operators  $\hat{a}_i$  and  $\hat{a}_i^\dagger$  indeed have the meaning of creation and annihilation operators, which can be proven by calculating their commutator.

**Claim:**  $[\hat{a}_i; \hat{a}_j^\dagger] = \delta_{ij}$  and  $[\hat{a}_i; \hat{a}_j] = [\hat{a}_i^\dagger; \hat{a}_j^\dagger] = 0$ .

**Proof:**

$$\begin{aligned} [\hat{a}_i; \hat{a}_j^\dagger] &= -\left[ \langle \hat{\phi}; f_i \rangle; \langle \hat{\phi}; f_j^* \rangle \right] = -i^2 \int_{\Sigma} dx \int_{\Sigma} dy \cdot \left[ f_i^*(\vec{x}) \hat{\pi}(\vec{x}) - \hat{\phi}(\vec{x}) \dot{f}_i^*(\vec{x}); f_j(\vec{y}) \hat{\pi}(\vec{y}) - \hat{\phi}(\vec{y}) \dot{f}_j(\vec{y}) \right] = \\ &= \int_{\Sigma} dx \int_{\Sigma} dy \left( f_i^*(\vec{x}) \cdot \dot{f}_j(\vec{y}) - \dot{f}_i^*(\vec{x}) \cdot f_j(\vec{y}) \right) \delta(\vec{x}; \vec{y}) = \int_{\Sigma} dx \cdot n^\mu (f_i^* \partial_\mu f_j - f_j \partial_\mu f_i^*) = \langle f_i; f_j \rangle = \delta_{ij}, \end{aligned}$$

and the remaining three commutators can be evaluated in the similar fashion. Here we have used that  $\hat{\pi} = \dot{\hat{\phi}} = n^\mu \partial_\mu \hat{\phi}$ .

Thus a collection of  $\{f_i, f_i^*\}$  with appropriate Klein-Gordon bracket relations is sufficient to manufacture a Bose-second-quantized Hilbert (Fock) space of symmetrized products of creation operators acting on the vacuum  $|0\rangle$ , which is defined by

$$\forall i: \quad \hat{a}_i |0\rangle = 0.$$

## Bogolubov expansions

Consider a curved spacetime with two asymptotical flat boundaries called “the past”  $\Sigma_p$  and “the future”  $\Sigma_f$ . On each boundary there is a preferred choice of the basis of solutions of the Klein-Gordon equation (dictated by the local spatial Fourier transform). We will call these choices  $\{f_i; f_i^*\}$  for “the past” and  $\{F_i; F_i^*\}$  for “the future”. Both of them (by definition) satisfy the usual Klein-Gordon bracket relations.

Since both of them span the linear space of classical solutions, one of them can be expanded in terms of another:

$$\begin{cases} f_i = \sum_k (\alpha_{ik} F_k + \beta_{ik} F_k^*), \\ f_i^* = \sum_k (\alpha_{ik}^* F_k^* + \beta_{ik}^* F_k), \end{cases}$$

where  $\alpha_{ik}$  and  $\beta_{ik}$  are called the Bogolubov coefficients.

**Claim:** The physical meaning of the Bogolubov coefficients is given by

$$\alpha_{ik} = \langle f_i; F_k \rangle, \quad \beta_{ik} = -\langle f_i; F_k^* \rangle.$$

**Proof:**

$$\begin{aligned} \langle f_i; F_k \rangle &= \sum_p (\alpha_{ip} \langle F_p; F_k \rangle + \beta_{ip} \langle F_p^*; F_k \rangle) = \sum_p \alpha_{ip} \delta_{pk} = \alpha_{ik}, \\ -\langle f_i; F_k^* \rangle &= -\sum_p (\alpha_{ip} \langle F_p; F_k^* \rangle + \beta_{ip} \langle F_p^*; F_k^* \rangle) = -\sum_p \beta_{ip} \cdot (-\delta_{pk}) = \beta_{ik}. \end{aligned}$$

The Klein-Gordon bracket relations impose the following consistency conditions on the Bogolubov coefficients:

$$\begin{cases} \sum_k (\alpha_{ik} \alpha_{jk}^* - \beta_{ik} \beta_{jk}^*) = \delta_{ij}, \\ \sum_k (\alpha_{ik} \alpha_{jk} - \beta_{ik} \beta_{jk}) = 0. \end{cases}$$

**Claim:** The consistency relations mentioned above hold automatically.

**Proof:**

$$\begin{aligned} \delta_{ij} = \langle f_i; f_j \rangle &= \sum_{k,p} \langle \alpha_{ik} F_k + \beta_{ik} F_k^*; \alpha_{jp} F_p + \beta_{jp} F_p^* \rangle = \\ &= \sum_{k,p} (\alpha_{ik} \alpha_{jp}^* \cdot \delta_{kp} - \beta_{ik} \beta_{jp}^* \cdot \delta_{kp}) = \sum_k (\alpha_{ik} \alpha_{jk}^* - \beta_{ik} \beta_{jk}^*), \end{aligned}$$

and the computation for  $0 = \langle f_i; f_j^* \rangle$  can be carried out in a similar fashion.

The same logic can be applied to obtain an inverse expansion.

**Claim:** The inverse expansion is given by

$$\begin{cases} F_k = \sum_i (\alpha_{ik}^* f_i - \beta_{ik} f_i^*), \\ F_k^* = \sum_i (\alpha_{ik} f_i^* - \beta_{ik}^* f_i). \end{cases}$$

**Proof:**

The field operator  $\hat{\phi}(x)$  can be expanded in terms of the past and future modes:

$$\hat{\phi}(x) = \sum_k (\hat{a}_k f_k(x) + \hat{a}_k^\dagger f_k^*(x)) = \sum_k (\hat{b}_k F_k(x) + \hat{b}_k^\dagger F_k^*(x)).$$

We can relate the  $\hat{a}$  and  $\hat{b}$  operators by substituting the Bogolubov expansion for  $f_k(x)$  and  $f_k^*(x)$ .

**Claim:**

$$\begin{cases} \hat{a}_i = \sum_k (\alpha_{ik}^* \hat{b}_k - \beta_{ik}^* \hat{b}_k^\dagger), \\ \hat{b}_k = \sum_i (\alpha_{ik} \hat{a}_i + \beta_{ik} \hat{a}_i^\dagger). \end{cases}$$

**Proof:**

$$\hat{a}_i = \langle \hat{\phi}; f_i \rangle = \sum_k (\langle F_k; f_i \rangle \hat{b}_k + \langle F_k^*; f_i \rangle \hat{b}_k^\dagger) = \sum_k (\alpha_{ik}^* \hat{b}_k - \beta_{ik}^* \hat{b}_k^\dagger),$$

and the expression for  $\hat{b}_k$  can be carried out in a similar fashion.

## Particle creation by the FLRW Universe

Suppose that the Universe contained no particles in “the past”. Thus, we are assigning to it a quantum state  $|0_p\rangle$  which is a vacuum state for “the past”:

$$\forall i : \hat{a}_i |0_p\rangle = 0.$$

We are interested in the quantum expectation of the total number of particles in the mode  $k$  present in the Universe in “the future”: those particles we interpret as created by the gravitational field:

$$\hat{N}_k = \hat{b}_k^\dagger \hat{b}_k.$$

The quantum expectation is then equal to

$$\begin{aligned} \langle N_k \rangle &= \langle 0_p | \hat{N}_k | 0_p \rangle = \langle 0_p | \hat{b}_k^\dagger \hat{b}_k | 0_p \rangle = \sum_{i,j} \langle 0_p | \left( \alpha_{ik}^* \hat{a}_i^\dagger + \beta_{ik} \hat{a}_i \right) \left( \alpha_{jk} \hat{a}_j + \beta_{jk}^* \hat{a}_j^\dagger \right) | 0_p \rangle = \\ &= \sum_{i,j} \langle 0_p | \beta_{ik} \hat{a}_i \beta_{jk}^* \hat{a}_j^\dagger | 0_p \rangle = \sum_{i,j} \beta_{ik} \beta_{jk}^* \langle 0_p | \hat{a}_i \hat{a}_j^\dagger | 0_p \rangle = \sum_{i,j} \beta_{ik} \beta_{jk}^* \delta_{ij} = \sum_i |\beta_{ik}|^2. \end{aligned}$$

Now we investigate how the cosmological Friedman-Lemaitre-Robertson-Walker solution of Einstein's equations creates spin-0 particles from the vacuum. The metric is given by

$$ds^2 = g_{\mu\nu}(x) dx^\mu dx^\nu = dt^2 - a^2(t) (dx^2 + dy^2 + dz^2) = a^2(\eta) (d\eta^2 - dx^2 - dy^2 - dz^2),$$

where  $\eta(t) = t/a(t)$  is called the conformal time.

First, we have to specify a basis of positive norm solutions:

$$f_k(\eta, \vec{x}) = \frac{e^{i\vec{k}\vec{x}}}{a(\eta)(2\pi)^{3/2}} \cdot \chi_k(\eta),$$

where  $\chi_k(\eta)$  is a solution of the differential equation

$$\frac{d^2 \chi_k(\eta)}{d\eta^2} + \left( \vec{k}^2 - V(\eta) \right) \chi_k(\eta) = 0$$

with

$$V(\eta) = -a^2(\eta) \cdot \left( m^2 + \left( \xi - \frac{1}{6} \right) R(\eta) \right).$$

**Claim:**  $f_k(\eta, \vec{x})$  indeed give the positive norm solutions of the Klein-Gordon equation  $(\square + m^2 - \xi R) \phi(x) = 0$ .

**Proof:** OMG this calculation is so complicated I better skip it and hope that this result is indeed correct.

The perturbative calculation for  $m = 0$  and around  $\xi = 1/6$  gives the following result for the particle-creation Bogolubov coefficient:

$$\beta_{kk'} \approx -\frac{i\delta_{kk'}}{2\omega} \int_{-\infty}^{+\infty} d\eta e^{-2i\omega\eta} V(\eta).$$

For the mean energy density we obtain the following expression:

$$\rho = \frac{1}{(2\pi a)^3 a} \sum_{k,k'} |\beta_{kk'}|^2 \approx -\frac{(\xi - \frac{1}{6})^2}{32\pi^2 a^4} \int_{-\infty}^{+\infty} d\eta_1 \int_{-\infty}^{+\infty} d\eta_2 \left( \ln[\mu |\eta_1 - \eta_2|] \cdot \frac{d}{d\eta_1} [a^2(\eta_1) R(\eta_1)] \cdot \frac{d}{d\eta_2} [a^2(\eta_2) R(\eta_2)] \right),$$

which is independent of arbitrary  $\mu$  which is put there for the dimensional considerations. Assuming that  $\Delta t \ll H^{-1} = \sqrt{12/R}$ , we arrive at an approximate answer:

$$\rho \approx \frac{(\xi - \frac{1}{6})^2 H^4}{8\pi^2 a^4} \cdot \ln \left[ \frac{1}{H\Delta t} \right],$$

$$N \approx \frac{(\xi - \frac{1}{6})^2 H^3}{12\pi a^3}.$$

Thus the FLRW Universe creates massless particles from the vacuum, unless the coupling coefficient is at the value fixed by conformal symmetry ( $\xi = 1/6$ ).